



USGIN

U.S. Geoscience Information Network

Metadata Recommendations for Geoscience Resources

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This document provides guidance on the metadata content required to meet the use requirements for USGIN metadata. The intention is to reduce the daunting complexity of the ISO metadata specifications to a manageable level to promote development of interoperable metadata records for a federated resource catalog system.

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1 Introduction

A key component of a distributed information network is a catalog system that allow data and service providers to register resources, and data consumers to locate and use those resources. Such a system consists of a metadata register-- a collection of data items that describe available resources, a search interface that allows users to search the metadata register, and registration processes that enable resource providers to create or submit new metadata records to the register. Currently, many online catalogs are web pages with collections of URLs for services, or services are discovered accidentally or by word of mouth. The vision is to enable a web client (portal) to search across one or more metadata registries without having to configure the client individually for each of the registries that will be searched. Thus, metadata providers can focus on data development, without having to also develop web clients to enable search of that metadata.

Production of quality metadata is time consuming, tedious, and gets little recognition, but good metadata is an essential component of a useful federated information system. Existing metadata standards are large complex information schema designed to account for any kind of resource description someone might want to create. This complexity makes them hard to use. Our goal is to define minimum content requirements that can be described in relatively simple language with common sense explanation of what the purpose of the content is. The scoping of the requirements is based on a collection of scenarios for how the metadata is intended to be used.

1.1 Normative References

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

USGIN_ISO_Metadata_1.1.3 USGIN profile of ISO content models (ISO19115 and ISO19119) and encoding (ISO19139). Access at <http://lab.usgin.org/node/235>.

ISO 19115 designates these two normative references:

- ISO 19115:2005, *Geographic information - Metadata*
- ISO 19115/Cor.1:2006, *Geographic information – Metadata, Technical Corrigendum*

ISO 19119 designates these normative references:

- ISO 19119:2005, *Geographic information - Services*
- ISO 19119:2005/Amd 1:2008, *Extensions of the service metadata model ISO 19108 designates:*
- ISO 19108:2005, *Geographic information – Temporal Schema*

ISO/TS 19139:2007, *Geographic information - Metadata – XML Schema Implementation*

ISO 10646-1, *Information technology — Universal Multiple-Octet Coded Character Set (UCS) — Part 1: Architecture and Basic Multilingual Plane*

RFC 2119, *Key words for use in RFCs to Indicate Requirement Levels*, Network Working Group, 1997.

1.2 Purpose

This document is intended to provide guidance on the metadata content required to meet the use requirements for USGIN metadata. The intention is to reduce the daunting complexity of the ISO metadata specifications to a manageable level to promote development of interoperable metadata records for a federated resource catalog system.

41 1.3 Terminology

42 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD
43 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described
44 in Internet RFC 2119.

45

46 **Application profile:** a schema that consists of data elements drawn from one or more namespaces,
47 combined together by implementers, and optimized for a particular local application. (Rachel Heery and
48 Manjula Patel, 2000, <http://www.ariadne.ac.uk/issue25/app-profiles/>)

49 **Catalog application:** Software that implements a searchable metadata registry. The application must
50 support the ability to register information resources, to search the registered metadata, to support the dis-
51 covery and binding to registered information resources within an information community.

52 **Codelist (also as Code list):** a controlled vocabulary that is used to populate values for an xml element.

53 **Data product specification:** a definition of the data schema and value domains for a dataset. The data
54 schema specifies entities (features), properties associated with each entity, the data type used to specify
55 property values, cardinality for property values, and if applicable, other logical constraints that determine
56 data validity. Value domains are specified for simple data types—strings or numbers, and may include
57 controlled vocabularies for terminology required to specify some properties.

58 **Dataset series:** collection of datasets sharing the same product specification (ISO 19115). ISO 19115
59 does not define product specification. For the purposes of USGIN, a product specification defines a data
60 schema, any required controlled vocabularies, and recommended practices for use of schema (see Data
61 product specification).

62 **Dataset:** an identifiable collection of data (ISO19115). USGIN refines this concept to represent a collec-
63 tion of data items in which individual data items are identified and accessible. USGIN extends the concept
64 of data items to include physical artifacts like books, printed maps and diagrams, photographs, and mate-
65 rial samples--any identifiable resource of interest. DCMI definition is "Data encoded in a defined structure"
66 with additional comment "Examples include lists, tables, and databases. A dataset may be useful for di-
67 rect machine processing." Metadata for the collection is a different type than metadata for individual
68 items in the collection (dataset vs. features). Criteria for what unifies the collection are variable (topic, ar-
69 ea, author...). Data items may represent intellectual content -- information content and organization (data
70 schema) -- or may represent particular manifestations (formats) of an intellectual artifact.

71 **Interoperability:** "The capability to communicate, execute programs, or transfer data among various
72 functional units in a manner that requires the user to have little or no knowledge of the unique characteris-
73 tics of those units." ISO/IEC 2382-01 (SC36 Secretariat, 2003)

74 **Metadata element:** a discrete unit of metadata (ISO 19115), an attribute of a metadata entity. A metadata
75 element contains some content specifying the value of the element; this content may be simple—a num-
76 ber or string, or may be another metadata entity.

77 **Metadata entity:** a named set of metadata elements describing some aspect of a resource.

78 **Metadata register:** an information store that contains a collection of registered metadata records, main-
79 tained by a metadata registry. (ISO 11179)

80 **Metadata registry:** an information system for assignment of unambiguous identifiers to administered
81 metadata records. (ISO 11179)

82 **Metadata section:** Part of a metadata document consisting of a collection of related metadata entities
83 and metadata elements (ISO 19115).

84 **Metadata:** data about a resource in some context. Generalize from ISO 11179 definition of metadata,
85 which constrains the scope to data about data. For USGIN purposes, metadata may describe any re-
86 source—including electronic, intellectual, and physical artifacts. Metadata represent resource characteris-
87 tics that can be queried and presented for evaluation and further processing by both humans and soft-
88 ware.

89 **Profile:** set of one or more base standards and - where applicable - the identification of chosen clauses,
90 classes, subsets, options and parameters of those base standards that are necessary for accomplishing a
91 particular function [ISO 19101, ISO 19106]

92 **Resource:** An identifiable thing that fulfills a requirement. Usage here is closer to definition used in RDF
93 (www.w3.org/TR/REC-rdf-syntax), generalized from ISO19115, which defines resource as an 'asset or
94 means that fulfills a requirement'. Another definition is "An object or artifact that is described by a record
95 in the information model of a catalogue" (OGC 07-006r1), but we broaden the intention to include any ob-
96 ject or artifact that *can be* described by a record...

97 **Service metadata:** metadata describing the operations and information available from a server.

98 **Source Specification:** The specification or standard that is being profiled.

99 **User Community:** A group of users, e.g. within a supply-chain industry, the members of which decide to
100 make a similar usage of the source specification in order to be able to interoperate.

101

102 Note that throughout this document, the names of xml elements are shown in `this typecase`. Long X-
103 paths have been broken with non-breaking hyphen characters. Note that hyphens are not used in any xml
104 attribute or element name, so if they appear in the text, they are strictly for better text wrapping. In Xpath
105 expressions `/../` indicates that some elements have been omitted from the path.

2 Abbreviations

CSW	Metadata Catalog for the Web. Also abbreviated as CS-W and CS/W
GeoSciML	Geoscience Markup Language
GML	Geographic Markup Language
GUID	Global Unique Identifier
IEC	International Electrotechnical Commission
ISO	International Organization for Standardization
UML	Unified Modeling Language
URI	Universal Resource Identifier
USGIN	U.S. Geoscience Information Network
WCS	Web coverage Service
WFS	Web Feature Service
WSDL	Web Services Description Language
XML	eXtensible Markup Language

108 3 Use cases, scenarios, requirements

109 This section includes a number of user scenarios for how we intend USGIN metadata to be used, and
110 discussion of several basic approach requirements that guide metadata content recommendations. At its
111 heart, the problem is to find resources of interest via the internet, based on criteria of topic, place, or time,
112 evaluate resources for an intended purpose, and learn how to access those resources. Detailed metadata
113 describing a resource data schema, describing service or application operation, or providing detailed de-
114 scriptions of analytical techniques and parameter are outside the scope intended for USGIN metadata.
115 Our contention is that this more domain/resource specific type information is better accounted for with
116 linked documents utilizing schema appropriate to those specific resource. Some examples include OGC
117 getCapabilities, WSDL, and ISO 19110 feature catalogs.

- 118 • A user specifies a geographic bounding box or one or more text keywords to constrain the resources
119 of interest, and searches a metadata catalog using these criteria. The user is presented with a web
120 page containing a list of resources that meet the criteria, with links for each resource that provide ad-
121 ditional detailed metadata, and direct access to the resource if an online version is accessible, e.g. as
122 a web page, Adobe Acrobat document, or online application (see Accessing Resources, below).
- 123 • A client application provides user with a map window that contains some simple base map infor-
124 mation (political boundaries, major roads and rivers). User wishes to assemble a variety of other data
125 layers for a particular area for some analysis or data exploration, e.g. slope steepness, geologic units,
126 bedding orientation, and vegetation type for a hazard assessment. User centers map view on area of
127 interest, then using an 'add data' tab, accesses a catalog application that allows them to search for
128 web services that provide the desired datasets. After obtaining the results and reviewing the metada-
129 ta for the located services, user selects one or more to add to the table of contents for the client ap-
130 plication. Response from catalog has sufficient information to enable the client application to load and
131 use the resource (e.g. serviceType, OnlineResourceLinkage). More concrete instances of this case
132 would be finding Web Map services to add as layers in an ESRI ArcMap project, borehole Web Fea-
133 ture Services to post borehole logs in a 3-D mapping application, or water chemistry data Web Fea-
134 ture Service to bring data into a spreadsheet or database.
- 135 • User searches for boreholes in an area. Returned metadata records have links to metadata for relat-
136 ed resources, like logs of different types, core, water quality data, etc. that the user can follow to
137 browse metadata for these resources.
- 138 • A catalog operator wishes to import and cache catalog records from a collaborating catalog that have
139 been inserted or updated during the last month (harvest). This operation requires knowledge of the
140 metadata standard and version used for the returned records.
- 141 • A user discovers an error in a metadata record for a resource that they have authored, and wishes to
142 contact the metadata producer to request correction.
- 143 • A search returns several results that appear to contain the desired content, and user must select the
144 most likely to meet their needs. Metadata should provide sufficient information to guide this decision.
- 145 • A project geologist at Company X is searching for data relevant to a new exploration target, and
146 wishes to restrict the search to resources that are publicly available.
- 147 • Complex search examples (see further discussion in the Query complexity section, below):
 - 148 ○ Search based on related resources, for example a search for boreholes that have core.
 - 149 ○ Boreholes that penetrate the Escabrosa formation.
 - 150 ○ Sample locations for samples with uranium-lead geochronologic data.
 - 151 ○ Find links to pdfs of publications by Harold Drewes on southeast Arizona.
 - 152 ○ Find geologic maps at scale < 100,000 in the Iron Mountains.
 - 153 ○ Who has a physical copy of USGS I-427?

154

155 **3.1 Efficient searching**

156 A search should return results that are actually relevant. Existing web search tools are very good at in-
157 dexing relevance based on association of words in text, and using links and user navigation history for
158 those links. This kind of indexing does not work for datasets, in which the information may be encoded in
159 binary format, and proximity of strings may be a function of the data serialization algorithm, not the se-
160 mantics. Semantic technology is advancing rapidly, and there is significant effort devoted to increasing
161 search efficiency using background information (common sense) encoded in ontologies. To index struc-
162 tured data more effectively and take advantage of semantic technology, users must describe resources
163 using controlled vocabularies (ideally linked to an ontology) in a formal metadata schema. Practically
164 speaking, semantic technology is still in its infancy (maybe early childhood?), but the issue is important
165 for discovery of structure data. Thus, use of controlled vocabularies for metadata content that is meant to
166 enable search for particular resource characteristics is a requirement. Determining the elements requiring
167 such vocabularies must be based on specific use cases.

168 **3.2 Identifiers**

169 A widely used identifier scheme is important to reduce duplication, and determine associations between
170 resources. Globally unique identifiers are essential for the described resource, and for the metadata rec-
171 ord. The USGIN URI scheme (http://repository.usgin.org/uri_gin/usgin/dlio/331) has been put forward as a
172 proposal for use in the US Geoscience Information Network.

173 The current thinking in the WWW community appears to be converging on a consensus to use HTTP
174 URIs that are expected to dereference to some useful resource representation. A widely used and under-
175 stood identifier scheme also enables semantic web functionality; “anyone can say anything about any-
176 thing” requires being able to identify the things. Of primary interest here are crowd-sourced tagging of re-
177 sources and feed back on utility, and related resources.

178 **3.3 Query complexity**

179 The complex search examples in the use cases section involve associations between resources, or re-
180 source-specific properties. The following table is a decomposition of some complex query examples

181 *Table 1. Analysis of complex queries*

Case#	Plain language query	Decomposition	Simplified solution
1	Boreholes that have core in a particular depth interval in a given area.	Borehole-centric approach -- geographic search for borehole resources (assume collar location), filter for those that have a related resource 'core', filter again for property of related resource 'core interval = min, max depth meters'. Alternatively, view search as actually for a 'core' resource, so search should be for 'core' with some given vertical extent. The core resource must provide an ID 'xxxx' for the borehole from which it was obtained. To obtain more details about the borehole, search for metadata on borehole with resource ID = 'xxxx'.	Include keywords for other resources associated with borehole. Put information about these in the abstract. User searches catalog for borehole with keyword (thesaurus=related resource) = 'core', reads abstract to see if it is what they want. The keywords would have to be a controlled vocabulary.

Case#	Plain language query	Decomposition	Simplified solution
2	Boreholes that penetrate the Escabrosa formation in a given area.	Geographic search for borehole resources (assume collar location), filter for property 'intersects Escabrosa formation'. Alternatively, search for borehole service that includes property = "formation tops", then query that service. Service properties would have to be from controlled vocabulary.	Include names of penetrated formations as keywords on a borehole. Formation names ideally from a geologic unit lexicon.
3	Locations for samples with uranium-lead geochronologic data in a given area.	Search catalog for Geochronology data service with property = 'analysis type' and backtrack to location point through sample metadata, or search catalog for U-Pb Geochronology Data Service and backtrack to location point through sample metadata, or search for 'sample service' with property = 'analysis type'. In the second case, there would still need to be some metadata property to indicate the analysis type for the service. Approach via the analytical data service requires chaining to the sample feature service, analogous to case 1 for borehole service.	Include keywords for kinds of analytical data associated with a sample in the sample metadata record. Search for samples with keyword (thesaurus=analysis type) = 'U-Pb geochronology'.
4	Find links to pdfs of publications by Harold Drewes on southeast Arizona.	Search for document resource with author = 'Harold Drewes' and geographic extent = 'SE Arizona', and online distribution format = 'pdf'.	Same
5	Find geologic maps at scale < 100,000 in the Iron Mountains.	Search for geologic map resource with geographic extent = 'Iron Mountains, and resolution scale denominator < 100000.	Same
6	Who has a physical copy of USGS I-427?	Search for document publisher = USGS, Series ID = I-427, offline distribution format = 'paper copy'	Include the document ID in the resource description.

182 Consideration of these queries indicates a requirement to distinguish metadata service from a data service.
183 When the request involves properties of specific instances of a particular resource type, a data service
184 for that resource should be accessed. The metadata for that service should describe the properties
185 offered for resource instances in that service.

186 Cases 1-3 can be handled in a general way by a service chaining process, in which the catalog is
187 searched for services offering the feature of interest with the property of interest that will be used as a selection
188 criteria. This approach keeps the top level resource catalog simpler, but makes discovery operations
189 significantly more complex. Cases 1-3 can also be handled with scoped keyword terms, where the scope
190 includes things like 'analysis type', 'geologic unit', 'related resource type'. In this usage, the scope
191 specifies a controlled vocabulary of categories related to some concept. Addition of new querying capabilities
192 requires adding additional scoped keywords in the metadata. The second approach is viewed as
193 more appropriate in a 'keep it simple' design framework for minimum metadata requirements.

194 Cases 4-6 are related to document-oriented searches, for which distribution format and online access are
195 important, and a number of bibliographic properties (scale, publisher, series, series ID, media, file format)
196 come into play.

197 **3.4 Accessing resources**

198 Explicit conventions for what URL's are included in metadata and how their behavior is specified are nec-
199 essary to enable software to utilize them without operator intervention. Links in metadata to access re-
200 source should in general be complete URL's that can be invoked with a simple HTTP GET request, with-
201 out having to add additional request parameters. Links should be accompanied by formal elements (with
202 controlled vocabulary content) that provide machine-processable information to distinguish links that will
203 return a document from links that invoke a service or access an online interactive application. The idea is
204 that sufficient information should be provided that client software can parse the metadata record and pro-
205 vide useful functionality on the resource with minimal user interaction.

206 For many resources, different representations may be available. These might be different file formats for
207 the same document for information resources. For non-information resources, a variety of representations
208 that have different uses might be available. For example a physical sample may be represented by a text
209 description of the sample, a GeoSciML xml description, visible light photograph, or images of the sample
210 using other sensors. A geologic map may be available as a paper copy, a scanned image, a georefer-
211 enced scanned image, a vector data set in one of several formats (gml, shape file, file geodatabase, MIF,
212 DWG), through a web map service, or through a web feature service. Metadata for a resource should be
213 able to describe all of these different representations that the resource provider wishes to make available,
214 in such a way that automated clients can seek representations useful to that client, or search clients can
215 present users with links to access different formats or representations.

216 **3.5 Citation and contact information**

217 Citation information specifies the source of some content. Citations for the described resource specify the
218 source for the resource intellectual content. The cited agent may have played various roles relative to the
219 resource—author, compiler, editor, collector etc., and a controlled vocabulary is necessary to specify the-
220 se. Citation for a metadata record specifies the agent responsible for producing the record, typically
221 thought of as the metadata record creator. Metadata production involves elements of authoring, compiling,
222 and editing. Minimally, citations must identify an individual person, an organization, or a role in an organi-
223 zation that is the agent filling a specified role relative to the cited resource. In most cases an organization
224 will be specified, either as the employer or sponsor of a person, an institutional actor, or the host for some
225 role (web master, metadata editor). In addition, information required to contact the cited actor is required
226 to enable metadata users to contact a person with some knowledge of the cited resource. For long-lived
227 metadata, contact for an agency role is most likely to persist. The minimum metadata contact information
228 required is either an e-mail address or telephone number.

229 **3.6 Fitness for purpose**

230 The metadata should provide sufficient description of the resource for a user to determine if the resource
231 is likely to meet their needs, and to determine what representation to access. At the simplest level, such
232 information should be provided in the abstract in the metadata record. This puts the onus on metadata
233 producers to document in the abstract information that will be useful for users to determine fitness. Such
234 information includes why the resource was produced, what sort of observation procedures were used, as-
235 sessment of data completeness, accuracy, and precision, and comparison with other known similar re-
236 sources. The data quality section of ISO 19115 provides a data structure to formally describe this infor-
237 mation, but the cost of using this is high (complex data entry), and there do not currently appear to be cli-
238 ents that utilize the information. The guiding principal should be that if users need to search on some par-
239 ticular quality criteria, specific guidance on how to encode that criteria in the metadata (which ISO 19139
240 elements, what controlled vocabulary to use if terminology is involved) is necessary. This is out of scope
241 for a minimum metadata requirement.

242 **3.7 Branding**

243 In a distributed, federated catalog system with harvesting, metadata records are expected to propagate
244 far beyond their original point of introduction into the system. If an organization producing metadata wish-
245 es to be recognized, and in order for users to be able to contact the metadata originator, contact infor-
246 mation for the metadata originator must be considered part of the metadata record, and maintained in
247 harvest processes. For presentation to users, it is desirable to provide a link to an icon that can be dis-
248 played with records to brand the origination of the metadata.

249 The same considerations hold for the resource itself.

250 **3.8 Access constraints, legal limitations**

251 Metadata records that are not for public consumption should never be exposed to a harvesting request.
252 Implementation of security and access control must occur at a lower layer in the network stack than the
253 catalog service is operating, such that authorization/ user authentication information is handled by the en-
254 vironment containing the catalog client and server. Metadata for commercially licensed resources may be
255 publicly accessible, but should clearly indicate the licensing requirements and procedure.

256 **3.9 Low cost of entry**

257 Metadata producers should be able to reuse and build on existing structured metadata. Minimum re-
258 quirements should be limited to information that is commonly available. Resource specific details should
259 be provided in text elements in the metadata. Special information necessary to utilize web links (e.g. web
260 service operation) in metadata should be provided by text in the metadata or through linked documents.

261 4 Content specification

262 Based on the above discussion, the following metadata content requirements are specified.

263 We are not proscribing any particular metadata format, but strongly recommend [ISO19139 XML](#) or [FGDC](#)
264 [CSDGM XML](#). Please confer with the AZGS developer team about metadata formatting to facilitate import
265 of metadata into the NGDS catalog.

266 Explanation of fonts used: *Terms in italics are groupings of metadata properties*; **required**, **conditional**,
267 and **optional** metadata content; (number of values that can be specified are in gray).

268 4.1 Minimum content

269 The follow list includes the minimum required content for basic resource description, discovery, and ac-
270 cess. Several of the use case scenarios outlined above could not be supported with only this content.

- 271 ○ **Title** (1 entry): Succinct (preferably <250 characters) name of the resource.
- 272 ○ **Description** (1 entry): Inform the reader about the resource's content as well as its context.
- 273 ○ **Originators** (1 to many entries): Authors, editors, or corporate authors/curators of the re-
274 source.
- 275 ○ **Publication Date** (1 entry): Publication, origination, or update date (not temporal extent) for
276 the resource. Use a "year" or [ISO 8601 date and time](#) format. Alternative date formatting
277 must be machine readable and consistent across all datasets. If no publication date is known,
278 estimate the publication date range, enter the oldest year as the publication date, and include
279 the estimated date range in the Description field.
- 280 ○ **Geographic Extent** - *Horizontal* (1 entry, point or minimum bounding rectangle): Values giv-
281 en in decimal degrees using the [WGS 84](#) datum. Required if resource has location Some re-
282 sources may not be usefully described by an extent; if no extent is specified the default is
283 Earth.
- 284 ○ **Access Instructions** (1 entry): Text description of how to access the resource.
- 285 ○ **Distribution Contact Party** (1 entry): The party (name of organization or person, etc.) to con-
286 tact about accessing the resource.
- 287 ○ **Distribution Contact Email** (1 entry): How to contact the party responsible for distribution
- 288 ○ **Metadata Date** (1 entry): Last metadata update/creation date-time stamp in [ISO 8601 date](#)
289 [and time](#) format. This may be automatically updated on metadata import if a metadata format
290 conversion is necessary.
- 291 ○ **Metadata Contact Party** (1 entry): The party (name of organization or person, etc.) to contact
292 with questions about the metadata itself
- 293 ○ **Metadata Contact Email** (1 entry): How to contact the party responsible for metadata content
294 and accuracy
- 295 ○ **Metadata Specification** (1 entry): Identifier for metadata specification used to create a
296 metadata record encoding this content.

297 4.2 Recommended metadata content

298 This section extends the minimum content requirements with recommended content to produce useful
299 metadata to describe resources, credit the originator of the resource, and inform users how to obtain or
300 access a resource. The resource description should provide sufficient information to assist in discovery of
301 the resource through an online search, and to allow users to evaluate the fitness of the resource for an in-
302 tended purpose.

303 Explanation of fonts used: *Terms in italics are groupings of metadata properties*; **required**, **conditional**,
304 and **optional** metadata content; (number of values that can be specified are in gray).

305

306 • *Resource*

- 307 ○ **Title** (1 entry): Succinct (preferably <250 characters) name of the resource.
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310 source.
- 311 ○ **Publication Date** (1 entry): Publication, origination, or update date (not temporal extent) for
312 the resource. Use a "year" or [ISO 8601 date and time](#) format. Alternative date formatting
313 must be machine readable and consistent across all datasets. If no publication date is known,
314 estimate the publication date range, enter the oldest year as the publication date, and include
315 the estimated date range in the Description field.
- 316 ○ **Geographic Extent - Horizontal** (1 entry, point or minimum bounding rectangle): **North**
317 **Bounding Latitude**, **South Bounding or Point Latitude**, **East Bounding Longitude**, **West**
318 **Bounding or Point Longitude**. Values given in decimal degrees using the [WGS 84](#) datum.
319 Some resources may not be usefully described by an extent; if no extent is specified the de-
320 fault is Earth. This convention would have to be modified for systems describing extraterres-
321 trial resources. For resources for which the geographic extent is not applicable, the recom-
322 mendation is to include the keyword 'nonGeographic' in the subject (spatial) keywords ele-
323 ment. If a particular encoding scheme requires a bounding box, a very small bounding rec-
324 tangle will be created if only a point coordinate is given; the asserted point will be the south-
325 west corner of the bounding box.
- 326 ○ **Contact - Author or Intellectual Originator** (0 to 1 entry): The primary party responsible for cre-
327 ating the resource. **Organization Name**, **Person Name**, **Street Address**, **City**, **State**, **ZIP**
328 **Code**, **Email**, **Phone**, **Fax**, **URL**. If contact information is provided, include at least the organ-
329 ization or author name.
- 330 ○ **Bibliographic Citation** (0 to 1 entry): Full bibliographic citation if the resource has been pub-
331 lished.
- 332 ○ **Subject Keywords** (0 to many entries): Thematic, spatial and temporal free-form subject de-
333 scriptors for the resource. A keyword may be assigned on metadata import if none are pre-
334 sent. If possible, submit keywords in separate Thematic, Spatial, and Temporal keyword cat-
335 egories.
- 336 ○ **Resource Language** (0 to 1 entry): Use three letter [ISO 639-2 language code](#) (defaults to
337 "eng" for English).
- 338 ○ **Resource ID** (0 to many entries): Resource identifier(s) following any public or institutional
339 standard. Identified consists of an identifier string and if applicable a **Resource ID Protocol**
340 identifier string that specifies the protocol for the resource ID standard. For example: unde-
341 fined, ISBN-10, ISBN-13, ISSN, URN, URI, IRI, DOI, HTTP, SSN, etc.
342 Examples: `doi:10.1000/182`; `isbn:0-671-62964-6`; `issn:1935-6862`; `azgs:OFR-10-02`
343 Many protocols build the identifier for the protocol into the identifier string.
- 344 ○ **Geographic Extent – Vertical** (0 to 1 entry*): **Datum Elevation**, **Upper Boundary**, **Lower**
345 **Boundary**, **CRS**. The upper and lower boundaries of the extent are reported relative to the
346 datum elevation. This will typically be the Earth surface at the location of the resource, or
347 mean sea level (MSL). The coordinate reference system CRS value (normally a URI) speci-
348 fies the datum for vertical measurement and the conventions used to define the coordinates
349 of the extent boundaries relative to the datum (positive up, positive down, units of measure-
350 ment). The upper boundary is farther from the center of the earth than the lower boundary.

- 351 *The same vertical extent may be reported using different coordinate reference systems in
 352 the same record to accommodate different conventions for searching. A borehole with collar
 353 elevation 4787 feet above sea level has core extracted at depths between 100 and 470 feet.
 354 The vertical extent of the core could be reported in either of the following ways: {0, CRS da-
 355 tum MSL positive up meters, 1420, 1308} or {1450.6, CRS datum Earth surface positive up
 356 meters, -30.3, -142.4}.
- 357 ○ *Temporal Extent* – Temporal range over which the resource was collected or is valid. If the
 358 resource pertains to specific named geologic time periods, those terms should be entered as
 359 keywords (preferable as part of Temporal Keywords). **Start Date** (0 to 1 entry), **End Date** (0
 360 to 1 entry; required if start date exists), use [ISO 8601 date and time](#) format: YYYY-MM-
 361 DDTHH:MM:SS.
 - 362 ○ **Quality Statement** (0 to 1 entry): Text specification of the quality of the resource.
 - 363 ○ **Lineage Statement** (0 to 1 entry): Text description of the resource's provenance.
- 364 • *Access*
 - 365 ○ **Access Statement** (1 entry): Text instructions for how to access the resource.
 - 366 ○ *Distribution Contact* (1 entry): The party to contact about accessing the resource. **Organiza-**
 367 **tion Name, Person Name, Street Address, City, State, ZIP Code, Email, Phone, Fax,**
 368 **URL.** In general, a contact for distribution should be required for physical resources.
 - 369 ○ *Link to the resource* (0 to many entries): A URL pointing to a resource or resource webpage.
 370 **URL, Link Function, Representation Format.** URL is minimum content required if a link is
 371 included. A Link Function term from a controlled vocabulary to specify what a HTTP GET us-
 372 ing the URL will invoke is recommended. The link might return an html page, electronic doc-
 373 ument in some other format, an end point for a service, an online application that requires
 374 user interaction, etc. Representation Format is a controlled vocabulary term specifying the
 375 format (MIME media types) of a file-based response if applicable.
 - 376 ○ **Constraints Statement** (0 to 1 entry): describe the resource's legal and usage constraints.
 - 377 ○ **Distribution Keywords** (0 to many entries): keywords describing the physical form of the re-
 378 source (core, rock sample, digital file, book, journal article), formatting of resource content
 379 (file format, e.g. tiff, xls, MIME type), or physical distribution media (film, floppy disk, online
 380 service, hard copy). Table 6 in USGIN ISO metadata profile includes a vocabulary for distri-
 381 bution format for use with the ISO19115 distributionFormat name property. Use of these
 382 keywords allows users to search for particular kinds of artifacts.
 - 383 • *Metadata*
 - 384 ○ **Metadata Date** (1 entry): Last metadata update/creation date-time stamp in [ISO 8601 date](#)
 385 [and time](#) format. This may be automatically updated on metadata import if a metadata format
 386 conversion is necessary.
 - 387 ○ *Metadata Contact* (1 entry): The party to contact with questions about the metadata itself. **Or-**
 388 **ganization Name, Person Name, Street Address, City, State, ZIP Code, Email, Phone,**
 389 **Fax, URL.**
 - 390 ○ **Metadata Specification** (1 entry): Identifier string for the specification to which metadata rec-
 391 ord encoding is declared to conform. Should indicate the base standard and version, as well
 392 as any profile that applies to the content or encoding. Ideally the identifier could be derefer-
 393 enced to obtain information about the applicable specification. Identifiers for metadata encod-
 394 ing specifications to be used in the USGIN and NGDS systems will have to be formally de-
 395 fined and registered for such identifiers to be broadly useful.
 - 396 ○ **Metadata UUID** (0 to 1 entry): A Universally Unique Identifier (**UUID**) will be assigned during
 397 the metadata import process if one is not provided. Unique identification of each metadata
 398 record is included to reduce duplication of entries across multiple metadata catalogs. The
 399 UUID format provides unique identification without centralized coordination.

400 **4.2.1 Information that will be assumed unless specified otherwise**

- 401 1. [Character encoding](#) of the metadata. Default is [UTF-8](#).
- 402 2. Language of metadata (English)
- 403 3. Language of resource (English)

404 **4.2.2 Resource specific requirements**

- 405 1. Vertical extent is required for resources that pertain to a subsurface, ocean, or atmosphere loca-
406 tion. If no vertical extent is specified, it is assumed to be the current Earth surface.
- 407 2. Published documents require a standard bibliographic citation (author, year, publisher, series,
408 volume, page numbers, etc.) as specified by a publication style or guideline. Some example
409 guidelines include [USGS Suggestions to Authors](#) and [MLA Style Manual](#); the community will
410 need to agree on conventions to use for citation syntax to improve interoperability. In general, for
411 web-accessible digital resources that are the typical items of interest that will be cited, full text
412 searches are anticipated to be the most common use case. Unless clear examples of use cases
413 requiring more disaggregated representation of citations in the metadata (e.g. separate attributes
414 for publisher, larger work title, larger work editor, volume, issue number, etc...) we will stick to
415 simple text blob citations.
- 416 3. Spatial data specification require information on spatial resolution and terms to categorize spatial
417 representation type: raster (spatial array), polygon, lines, and points
- 418 4. Web Services require:
 - 419 a. service type from controlled vocabulary. See Table 11 in USGIN ISO metadata profile for
420 a starting-point interim vocabulary.
 - 421 b. URL for service-specific document that describes operation of service (e.g. OGC
422 GetCapabilities, WSDL)
 - 423 c. Base URL for service requests
 - 424 d. Contact information for service provider

425 **4.2.3 Optional but highly recommended**

- 426 1. Citations for resource creator and metadata creator should include URL for icons to display to
427 brand content in presentation to user.
- 428 2. Use scoped keywords from community thesauri to increase search efficiency. A gazetteer thesau-
429 rus like USGS place names is one obvious candidate. Details need to be determined.

430 **4.3 Issues**

- 431 How to deal with binding between related resources, like core association with logs from same borehole.
- 432

433 **5 References**

434 **5.1 Cited literature**

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